

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

James C. Ori et al.

Group Art Unit: 3619

Serial No.: 09/941,386

Filed: August 28, 2001

For: STRUCTURAL MEMBER FOR A VEHICLE FRAME ASSEMBLY

Attorney Docket No.: 705558US1



**PETITION IN RESPONSE TO NOTICE OF OMITTED ITEM(S)**

Asst. Commissioner for Patents  
United States Patent and Trademark Office  
Washington, D.C. 20231

Sir:

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**CERTIFICATE OF MAILING (37 CFR 1.8)**

Date of Deposit with U.S. Postal Service is November 6, 2001. I hereby certify that this paper is being deposited with the United States Postal Service as first class mail under 37 CFR 1.8 on the date indicated above and is addressed to Assistant Commissioner for Patents, Washington, D.C. 20231.

Theresa M. Good  
NAME OF PERSON MAILING PAPER

Theresa M. Good  
SIGNATURE

---

In response to the Notice of Omitted Item(s) in a Nonprovisional Application mailed October 1, 2001, Applicants contend that the item referenced therein as being omitted, i.e., Figure 1, was in fact included on sheet 1/5 of the drawings deposited in the U.S. Patent and Trademark Office with the original application papers on August 28, 2001. Therefore, Applicants believe this application is entitled to the original filing date, and reconsideration is respectfully requested in view of the following comments and attached evidence.

As shown in the attached copies of the Utility Patent Application Transmittal (Exhibit A), PTO stamped post card (Exhibit B), and Official Filing Receipt (Exhibit C), five (5) sheets of drawings were originally submitted with the application papers on August 28.


However, based on the undersigned's review of Applicants' file record, it appears that the figure shown on original sheet 1/5 was erroneously submitted without any figure number printed thereon. The remaining sheets 2/5 – 5/5 were properly labeled as corresponding with the other figures described in the specification, i.e., Figures 2-9. Applicants further point out that the view and numbered elements shown on sheet 1/5 clearly correspond to the description

provided in the specification for Figure 1. Notwithstanding the obvious error in not providing any figure number on sheet 1/5, Applicants contend that this figure is in fact Figure 1 as described, and therefore, was originally submitted as required by 37 CFR 1.53(b).

A substitute sheet 1/5 properly denoting the figure as "Figure 1" has been enclosed with this petition. Prompt and favorable consideration of this application is requested.

Respectfully submitted,

By:

  
Ralph E. Smith  
Reg. No. 35,474  
Attorney for Applicants

Dated:

11/6/2001  
CIMS 483-02-19

DAIMLERCHRYSLER INTELLECTUAL CAPITAL CORPORATION  
DAIMLER CHRYSLER TECHNOLOGY CENTER  
800 CHRYSLER DRIVE  
AUBURN HILLS, MI 48326-2757  
248-944-6519

OCT 3 2001



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/941,386	08/28/2001	3619	710	705558US1	5	16	1

CONFIRMATION NO. 5998

24938  
DAIMLERCHRYSLER INTELLECTUAL CAPITAL CORPORATION  
CIMS 483-02-19  
800 CHRYSLER DR EAST  
AUBURN HILLS, MI 48326-2757

## FILING RECEIPT



\*OC000000006817879\*

Date Mailed: 10/01/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

James C. Ori, Troy, MI;  
Saad M. Abouzahr, Ann Arbor, MI;  
Shujath I. Ali, West Bloomfield, MI;

S ✓

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 09/28/2001

Projected Publication Date: To Be Determined - pending completion of Omitted Items

Non-Publication Request: No

Early Publication Request: No

## Title

Structural member for a vehicle frame assembly

Preliminary Class

280

Exhibit C

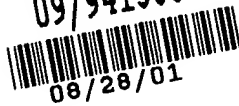
UTILITY PATENT APPLICATION TRANSMITTAL		Attorney Docket No.		705558	
(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))		First Inventor or Application Identifier		James C Ori, et al.	
		Title		Structural Member For A Vehicle Frame Assembly	
		Express Mail Label No.		EL536119204US	
APPLICATION ELEMENTS See MPEP Chapter 600 concerning utility patent application contents.		ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, D.C. 20231			
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB-17) (Submit an original and a duplicate for fee processing)		5. <input type="checkbox"/> Microfiche Computer Program (Appendix)			
2. <input checked="" type="checkbox"/> Specification [Total Pages 6] (preferred arrangement set forth below) <ul style="list-style-type: none"><li>-Descriptive title of the Invention</li><li>-Cross References to Related Applications</li><li>-Statement Regarding Fed Sponsored R&amp;D</li><li>-Reference to Microfiche Appendix</li><li>-Background of the Invention</li><li>-Brief Summary of the Invention</li><li>-Brief Description of the Drawings (if filed)</li><li>-Detailed Description</li><li>-Claims(s)</li><li>-Abstract of the Disclosure</li></ul>		6. Nucleotide and/or Amino Acid Sequence Submission (If applicable, all necessary) <ul style="list-style-type: none"><li>a. <input type="checkbox"/> Computer Readable Copy</li><li>b. <input type="checkbox"/> Paper Copy (identical to computer copy)</li><li>c. <input type="checkbox"/> Statement verifying identity of above copies</li></ul>			
3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 5]		ACCOMPANYING APPLICATION PARTS			
Oath or Declaration [Total Pages 2] <ul style="list-style-type: none"><li>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</li><li>b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63 (d)) (for continuation/divisional with Box 16 completed)</li></ul>		7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))			
i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) Named in the prior application, see 37 C.F.R. § 1.63(d)(2) and 1.33 (b).		8. <input type="checkbox"/> 37 C.F.R. § 3.37(b) Statement (when there is an assignee) <input type="checkbox"/> Power of Attorney			
*NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).		9. <input type="checkbox"/> English Translation Document (if applicable)			
16. If a CONTINUING APPLICATION, Check appropriate box, and supply the requisite information below and in a preliminary amendment: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No. _____ Prior application information: Examiner _____ FOR CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.		10. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations			
17. CORRESPONDENCE ADDRESS		11. <input type="checkbox"/> Preliminary Amendment			
<input type="checkbox"/> Customer Number or Bar Code Label 24938 or <input checked="" type="checkbox"/> Correspondence address below (Insert Customer No. or Attach bar code label here)		12. <input checked="" type="checkbox"/> Return Receipt Postcard MPEP 503)			
Name: Mark P. Calcaterra DaimlerChrysler Intellectual Capital Corporation		13. <input type="checkbox"/> Statement (s) <input type="checkbox"/> Statement filed in prior application, Status still proper and desired			
Address: CIMS 483-02-19 800 Chrysler Drive		14. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)			
City: Auburn Hills State: Michigan Zip Code: 48326-2757		15. <input type="checkbox"/> Other:			
Country: United States Telephone: (248) 944-6500 Fax: (248) 944-6537					

Name (Print or Type)	Mark P. Calcaterra	Registration No.	30,363
Signature	<i>Mark P. Calcaterra</i>	Date	August 28, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

Exhibit A

J1040 U.S. PTO  
09/941386



DaimlerChrysler Corporation  
Corporate Patent

SEP 10 2001

ATTN: tny CIMS 483-02-19  
PATENT DEPARTMENT  
DAIMLERCHRYSLER CORPORATION  
800 CHRYSLER DRIVE  
AUBURN HILLS MI USA 48326-2757



483-02-19



84-910-8816 (REV. 11/98)



0941386

The Patent and Trademark Office is kindly requested to advise that the accompanying paper:

Inventor(s): Ori et al Serial/Patent No. Unknown  
Date: 8-28-01 Attorney: mPC File No.: 705858051

- PATENTS -

- |   |   |
|---|---|
| <input checked="" type="checkbox"/> New Patent Application:   | <input checked="" type="checkbox"/> Certificate of Express Mail         |
| <input type="checkbox"/> CON <input type="checkbox"/> CIP <input type="checkbox"/> DIV <input type="checkbox"/> FWC | <input checked="" type="checkbox"/> 16 Page(s) Specification            |
| <input type="checkbox"/> Provisional <input type="checkbox"/> PCT   | <input checked="" type="checkbox"/> 5 Sheet(s) Drwgs. (Formal/Informal) |
| <input checked="" type="checkbox"/> Executed Declaration  | <input checked="" type="checkbox"/> Information Disclosure              |
| <input type="checkbox"/> Missing Parts  | <input type="checkbox"/> Transmittal Sheet                              |
| <input type="checkbox"/> Notice of Appeal   | <input type="checkbox"/> Recordation Cover Sheet                        |
| <input type="checkbox"/> Appeal Brief   | <input type="checkbox"/> Response/Amendment                             |
| <input type="checkbox"/> Issue Fee Transmittal  | <input type="checkbox"/> Priority Document                              |
| <input type="checkbox"/> Maint. Fee Transmittal   | <input type="checkbox"/> Make of Record Letter                          |
|   | <input checked="" type="checkbox"/> PTO 1449                            |
|   | <input type="checkbox"/> Fee Transmittal Sheet                          |
|   | <input type="checkbox"/> Assignment                                     |
|   | <input type="checkbox"/> Extension of Time                              |
|   | <input type="checkbox"/> Status Inquiry                                 |
|   | <input type="checkbox"/> Drawing Transmittal                            |

Other: 8 cited patents

has received the date-of-receipt stamp which is impressed hereon.  
COURTESY OF MAIL ROOM

Exhibit B

0300

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

10

Applicati n Number

09/941,386

Filing Date

August 28, 2001

First Named Inventor

Ori et al.

Group Art Unit

3619

Examiner Name

Unknown

Attorney Docket Number

705558US1

## ENCLOSURES (check all that apply)

☐ Issue Fee Transmittal Form (in dup.)☐ Fee Attached☐ Amendment / Response☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☐ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Response to Missing Parts/  
Incomplete Application☐ Response to Missing  
Parts under 37 CFR  
1.52 or 1.53☐ Assignment Papers  
(for an Application)☐ Drawing(s)☐ Licensing-related Papers☒ Petition In Response To Notice Of  
Omitted Items(s)☐ Petition to Convert to a  
Provisional Application☐ Power of Attorney, Revocation  
Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s) . . . . .☐ After Allowance Communication to  
Group☐ Appeal Communication to Board of  
Appeals and Interferences☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s)  
(please identify below):

Return receipt postcard  
Exhibits A, B and C (3 pages)  
Drawing Sheet 1/5  
Copy of Notice of Omitted  
Item(s) In A Nonprovisional  
Application ( 2 pages)

Remarks

The Commissioner is hereby authorized to charge any  
additional fees that may be required under 37 CFR 1.16 OR  
1.17 to Deposit Account No. 03-1800. A duplicate copy of this  
sheet is enclosed.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name

DaimlerChrysler Corporation

Ralph E. Smith, Reg. 35,474

Signature

Date

11/6/2001

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope  
addressed to: Assistant Commissioner for Patents, U.S. Patent & Trademark Office, Washington, D.C. 20231

Typed or printed name

Theresa M. Good

Signature

Date

11-6-01

09/941,386 "122701"



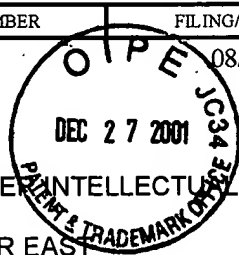
## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/941,386	08/28/2001	James C. Ori	705558US1

24938

DAIMLERCHRYSLER INTELLECTUAL CAPITAL CORPORATION  
CIMS 483-02-19  
800 CHRYSLER DR EAST  
AUBURN HILLS, MI 48326-2757



CONFIRMATION NO. 5998

## FORMALITIES LETTER



\*OC000000006817880\*

Date Mailed: 10/01/2001

## NOTICE OF OMITTED ITEM(S) IN A NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

A filing date has been accorded to the above-identified nonprovisional application papers; however, the following item(s) appear to have been omitted from the application:

- Figure(s) **FIG: 1** described in the specification.

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the USPTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h))) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application papers (*i.e.*, the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabeled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

T0424T 98E4660

*A copy of this notice MUST be returned with the reply.*

\_\_\_\_\_  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

0943313817650  
10/22/98